

**PUBLIC TRANSPORT AUTHORITY**  
SAFEWORKING RULES AND PROCEDURES

**4003**  
RAIL TRAFFIC  
INTEGRITY

## CONTENTS

1.	Purpose .....	3
2.	General.....	3
	2.1. Testing Equipment .....	3
	2.2. Dangerous Goods .....	3
3.	Brakes .....	4
	3.1. Holding Rail Traffic Stationary.....	4
	3.1.1. Security of Rail Traffic Left on Running Lines .....	4
	3.2. Abnormal or Defective Brakes .....	4
	3.3. Handbrakes and Securing Devices.....	4
4.	Rail Traffic Safety Management Systems .....	5
5.	Driver Supervisory Systems .....	5
6.	Defective Equipment .....	5
	6.1. Speedometer Failure.....	5
	6.2. Driver Supervisory Systems .....	6
7.	Defective Vehicles .....	6
	7.1. Inspecting and Managing Defects.....	7
8.	Reference .....	8
9.	Effective Date .....	8

## 1. PURPOSE

The purpose of this rule is to provide information to *Rail Traffic Crew* about requirements for ensuring *Rail Traffic* is *Fit For Purpose* before accessing, and during *Travel* on the Public Transport Authority (PTA) *Network*.

---

## 2. GENERAL

*Rail Traffic* must be *Fit For Purpose* before access to and during *Travel* on the PTA *Network*.

*Rail Traffic* must comply with the PTA's gauge outline in accordance with PTA **8190-400-001 Standard Gauge Mainline Code of Practice Track & Civil Infrastructure** and PTA **8190-400-002 Narrow Gauge Mainline Code of Practice Track & Civil Infrastructure**.

*Rail Traffic Crew* must not, without *Authority*, bypass, disconnect or turn off any device provided for the safe operation of *Rail Traffic*.

Prior to entering the *Network*, *Rail Traffic Crew* must ensure that all necessary brake tests have been performed and equipment is within specified limits.

*Rail Traffic Integrity* must be re-established whenever the *Consist* changes. *Rail Traffic Integrity* must be documented and maintained.

*Rail Traffic* must be compatible with *Civil Infrastructure* standards.

Loading carried on *Rail Traffic* must be *Secure* and restrained safely throughout the journey.

### 2.1. TESTING EQUIPMENT

Prior to entering the PTA *Network*, *Rail Traffic Crew* must ensure that the following equipment is fully operational:

- speedometer, if this can be checked;
- *Motive Power Unit* lights;
- *Motive Power Unit Whistle*;
- *End-of-Train Marker* or *End-of-Train Monitor*;
- communications equipment; and
- *Driver Supervisory Systems*.

### 2.2. DANGEROUS GOODS

Before *Rail Traffic Travels* in the PTA *Network*, the classes of *Dangerous Goods* and the *Identification Numbers* of *Vehicles* carrying *Dangerous Goods* must be recorded in the *Consist* documentation.



**NOTE**

*Dangerous Goods* must be loaded, labelled and marshalled in accordance with the *Australian Code for the Transport of Dangerous Goods by Road and Rail (ADG Code)*©.

---

### **3. BRAKES**

#### **3.1. HOLDING RAIL TRAFFIC STATIONARY**

*Rail Traffic* braking systems must be capable of stopping and holding the *Rail Traffic* stationary in all *Network* conditions applicable to the *Route*.

##### **3.1.1. Security of Rail Traffic Left on Running Lines**

Whenever it is necessary for *Rail Traffic* or a portion of *Rail Traffic* to be left unattended on a *Running Line* for longer than 30 minutes, in addition to the application of the automatic brake, 100 per cent hand/park brakes must be applied.

*Vehicles* not provided with hand brakes must, where necessary, be *Secured* to meet the requirements indicated above.

#### **3.2. ABNORMAL OR DEFECTIVE BRAKES**

If during *Travel* there is an abnormal application of brakes or the braking performance is inadequate, the *Rail Traffic* Crew must:

- bring the *Rail Traffic* to a complete Stop;
- advise the *Train Controller*;
- if necessary, apply *Protection* for the *Rail Traffic* in accordance with **Rule 4001 Protecting Rail Traffic**;
- if possible, determine the cause of the application or the extent of the defect;
- if possible, remedy the cause of the application or defect; and
- tell the *Train Controller* when the journey has been resumed or if the defect cannot be remedied.

#### **3.3. HANDBRAKES AND SECURING DEVICES**

Equipment used for securing *Rail Traffic* must be tested before *Rail Traffic* is detached from a *Motive Power Unit* or a continuous brake system.

If a *Vehicle* without working *Handbrakes* needs to be detached and *Secured*, it must be coupled to a *Vehicle* that has working *Handbrakes* and can secure the combined weight of both *Vehicles*.

## **4. RAIL TRAFFIC SAFETY MANAGEMENT SYSTEMS**

*Rail Traffic Safety Management Systems* may include:

- Vigilance Control;
  - Speedometer;
  - Detonator Detector System (freight);
  - Automatic Train Protection System;
  - Platform Detection System; or
  - Safe Braking System.
- 

## **5. DRIVER SUPERVISORY SYSTEMS**

*Driver Supervisory Systems* may include:

- Vigilance Control;
  - Detonator Detector System (freight); or
  - Automatic Train Protection System.
- 

## **6. DEFECTIVE EQUIPMENT**

Where any *Driver Supervisory System* fails enroute, the *Rail Traffic Crew* must obtain the *Operator's Representative* approval to continue.

The *Train Controller* must be advised by the *Rail Traffic Crew* of:

- the system failure; and
- the *Operator's Representative* approval to continue.

### **6.1. SPEEDOMETER FAILURE**

Where approved to continue by their *Operator's Representative*, affected *Rail Traffic Crew* must advise the *Train Controller* of the approval and ensure that permissible speeds are not exceeded and may continue to *Travel* until:

- the *Motive Power Unit* is *remarshalled* at the first suitable *Location*;
- the equipment can be repaired or replaced; or
- the *Motive Power Unit* is *Worked Out Of Service*.

## 6.2. DRIVER SUPERVISORY SYSTEMS

If *Driver Supervisory Systems* in the leading *Motive Power Unit* are faulty and need to be *Isolated* during *Travel*, the *Rail Traffic Crew* and the *Train Controller* must confer to determine what actions are required to ensure safety of the *Rail Traffic* and *Track Workers*.

Actions to ensure safety of the *Rail Traffic* may include:

- getting a second *Rail Traffic Crew* member for driver only operation;
- reduction of speed; and
- *Travel at Restricted Speed*.

If the affected *Motive Power Unit* cannot continue to *Travel* safely; it must be *Worked Out Of Service*.

---

## 7. DEFECTIVE VEHICLES



### WARNING

Where there is a risk of being struck by *Rail Traffic* on *Adjacent* lines, the *Rail Traffic Crew* must arrange to implement safety measures to reduce the risk.



### WARNING

*Adjacent* lines may be under the control of different *Train Controllers* or *Access Providers*.

If the *Rail Traffic Crew* becomes aware that any portion of their *Rail Traffic* that may be defective, the *Rail Traffic Crew* must:

- stop if necessary;
- tell the *Train Controller*;
- protect the *Rail Traffic*, if required; and
- inspect *Rail Traffic* for fault or failure, or if this is not possible, arrange for inspection.

## 7.1. INSPECTING AND MANAGING DEFECTS



### WARNING

If the *Rail Traffic Crew* suspect that a *Rail Traffic* defect may have caused damage to *Infrastructure* the *Rail Traffic Crew* must tell the *Train Controller*.

If the inspection confirms that there is a defect, the *Rail Traffic* must tell the *Train Controller*:

- the nature of the defect; and
- if the defect can be remedied on site.

If the *Rail Traffic Crew* considers that the defective *Rail Traffic* cannot *Travel* normally, the *Rail Traffic Crew* or *Operator's Representative* must determine:

- the ability of the *Rail Traffic* to *Travel*;
- any restrictions to be placed on the *Rail Traffic* for *Travel*; or
- the proposed plan for removing the *Rail Traffic* from *Running Lines*.

If the defective *Rail Traffic* is able to *Travel*, the *Rail Traffic Crew* must tell the *Train Controller* about operating restrictions that apply.

If the *Rail Traffic* is to be detached, the *Rail Traffic Crew* must:

- advise the *Train Controller* of the details of the *Rail Traffic* including any *Dangerous Goods* and the *Rail Traffic's* defect;
- jointly agree with the *Train Controller*, as to the *Location* where the *Rail Traffic* is to be detached and;
- secure the *Rail Traffic* at the agreed *Location*.

Any equipment that has detached from *Rail Traffic* must be moved to a *Location* where it cannot be struck by other *Rail Traffic*.

The *Train Controller* must be advised of any detached equipment, and if the detached equipment cannot be moved clear of the line.

---

## **8. REFERENCE**

Rule 4001 Protecting Rail Traffic

4040-409-502 Automatic Train Protection Training Course

8190-400-001 Standard Gauge Mainline Code of Practice Track & Civil Infrastructure

8190-400-002 Narrow Gauge Mainline Code of Practice Track & Civil Infrastructure

---

## **9. EFFECTIVE DATE**

1 November 2015